

**GOVERNMENT OF ODISHA
DEPARTMENT OF WATER RESOURCES,
PROJECT MANAGEMENT UNIT, OIIAWMIP
5th Floor, Rajiv Bhawan, Bhubaneswar.**

No.: 12 of 2016-17

Dated: 21.03.2017

**INVITATION FOR EXPRESSIONS OF INTEREST FOR SELECTION OF EXTERNAL
MONITOR FOR RESETTLEMENT PLAN IMPLEMENTATION.**

Loan 3265-IND: Orissa Integrated Irrigated Agriculture and Water Management Investment Program (OIIAWMIP) Project 2.

1. The Government of Odisha (GoO), through the Government of India, has applied for a loan from the Asian Development Bank (ADB) towards the cost of the Orissa Integrated Irrigated Agriculture and Water Management Investment Program (OIIAWMIP) Project 2. This is proposed for financing under the multi-tranche financing facility for OIIAWMIP which ADB approved in 2008. OIIAWMIP is to be implemented in two tranches (Project 1 and Project 2), and aims at promoting rural economic growth and reducing poverty in the selected river basins/geographical areas, and institutionalizing effective mechanisms to strengthen and put into operation participatory irrigation management (PIM). Project 2 seeks to expand project activities to 4 major (Machhagaon, Pattamundai, HLC-Range-1 & MCII) and 3 medium irrigation schemes and 750 minor lift irrigation schemes, and in addition proposes to restore saline and river embankments that were damaged by the 2013 cyclone Phailin.

2. In Project 2, Machhagaon, Pattamundai, HLC R-1 and MCII subprojects would entail loss of assets and livelihoods of people settled (non-titleholders) on either side of the existing embankment. The asset loss to these affected persons (AP) is mainly in terms of loss of residential, commercial as well as community property. The land belongs to the Department of Water Resources (DoWR), GoO. But local people and some migrants (Squatters) have encroached upon and earning their livelihood through it. There is no physical displacement through evacuation of land and property owned by individuals or community.

3. The Executing Agency (EA), DoWR, GoO, plans to implement Resettlement Plans (RP) in all the 4 sub-projects. RP implementation for the subproject will be closely monitored by the EA through PMU and at each Subproject Implementation Office (SIO) through a Social and Environment Implementation unit (SEIU). The monitoring mechanism will have a two-tier system i.e. Internal Monitoring and External Monitoring.

4.. The Chief Engineer-cum-Project Director, Project Management Unit (PMU) OIIAWMIP, Department of Water Resources, Government of Odisha now invites Expression of Interest (EOI) from Individual Consultants for the External Monitor for implementation of RP and monitoring of it in the above 3 subprojects under the OIIAWMIP, Project 2 (As per Para-2).

5. The Consultant / External Monitor will review implementation of the RP monthly to assess whether the basic objective of ADB's Safeguard Policy is being fulfilled. The external monitor will also assess whether the process of rehabilitation of the project affected persons and adequacy of institutional arrangements in RP implementation were as per ADB's Safeguard Policy Statement. The tentative contract period will be for 3 months.

6. Interested individual consultant for External Monitor for RP Implementation position should have a minimum master's degree in social science (preferably in subjects like economics, sociology, anthropology, development studies) and must have at least 5 years prior experience in involuntary resettlement operation and management. Experience in RP implementation in Odisha is preferable. The Consultants in their expression of interest shall provide the detailed information about their experience / capacity relevant to this assignment supported by documentary proof in the standard EOI format available in official website of ADB and Government of Odisha, addresses given in para 8. They are also required to furnish their Curriculum Vitae (CV) describing their qualification and experience in details in standard ADB CV format.

7. Individual Consultant will be selected in accordance with Individual Consultant Selection (ICS) procedure set out in ADB's Guidelines for Use of Consultants (2013). The Project Management Unit (PMU) will manage the Consultants' Contract.

8 Interested candidates may obtain the Terms of References (TORs) from the official DoWR website www.dowrodisha.gov.in and Consultant Selection Recruitment Notice on ADB's website <http://cms.adb.org/Consulting/EOI-firms.doc>.

9. EOI should be submitted by on-line through ADB's Consultant Management System using the above link. Deadline for EOI submission is up to 11.59 PM (Manila Time), i.e., 9.29 PM IST on 10.04.2017

The undersigned reserves the right to cancel any or all EOIs without assigning any reason thereof.

Sd/-

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Department of Water Resources, Govt. of Odisha,
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Odisha, India. E-mail: cepdpmu@gmail.com
Tel: +91- 674- 2391275 Fax: 0674- 2391475.**

Terms of Reference for External Monitor for Resettlement Plan (RP) Implementation Package – CS 10

1.0 The Background

1. The Orissa Integrated Irrigated Agriculture and Water Management Investment Program (OIIAWMIP), being funded by the Asian Development Bank (ADB) through sector lending approach over a span of ten years, aims to reduce rural poverty levels in the State by improving agriculture sector productivity and enhancing rural incomes.

2. The RP implementation monitoring program will include four (4) subprojects. Machhagaon, Pattamundai and HLC Range-1 subprojects of Project 2 are classified under category A for involuntary resettlement, while the MCCI subproject is classified as category C having no involuntary displacement or resettlement, in accordance with ADB's Safeguard Policy Statement (SPS) 2009.

3. The renovation work under the Machhagaon sub-project, under Jagatsinghpur Irrigation Division, administered by 4 sub-divisions will be strengthened to provide irrigation to 34,870 ha benefitting 342 villages. The project would affect 720 structure units (comprising 296 residential and 424 commercial structure units representing as many households located adjacent to the Machhagaon main and distributary canal system. The land belongs to DoWR, GoO. But local people and some migrants are encroached upon and earning their livelihood through it. A detailed Encroachment Enumeration Survey (EES) as part of the census survey was undertaken between October to December 2012 covering all the structure units getting affected in Machhagaon canal system.

4. In the Pattamundai subproject the renovation work involves strengthening and widening of the existing embankment. The project would affect 679 households (Residential 75 units and 604 Commercial units are standing on the canal embankment illegally) living on and adjacent to the Pattamundai canal system is falling under two divisions: Mahanadi North Division and Kendrapada Irrigation Division. A detailed EES census survey was undertaken between December 2012 and January 2013.

5. Also in the HLC-Range-1 subproject the renovation work involves strengthening and widening of the existing embankment to provide irrigation to 13,790 ha benefitting 112 villages. The project would affect 269 structure units (including 141 residential structure units, 128 Commercial structure units located adjacent to the HLC-Range-1 main and distributary canal system. HLC-Range-1 subproject canal systems are falling under two irrigation divisions: Jaraka Irrigation Division and Mahanadi North Division. A detailed EES as part of the census survey was undertaken between March 2013 and April 2013.

6. The scope of work proposed for the MCII Subproject involves extension of Chitrotpala Right Bank Canal (CRBC) from Reduced Distance (RD) 15.13 km to RD 26.25 km along with 22 new minor and sub-minor canals and Paika Left Branch Canal (PLBC) from RD 15.00km to RD 25.18 km along with 20 new minor and sub-minor canals. In Mahanadi-Chitrotpala Island

Irrigation (MCII) sub-project a total of 1285 RoR holder is going to be partially affected in 47 villages of Kendrapada, Jagatsinghpur and Cuttack district. The sub project does not have a need for relocation of any population, as there is no physical displacement. Additional land will be required for construction of branch, new minor and sub-minor canals. This will be acquired by the Department of Water Resources (DoWR). The livelihood assistance program has been implemented in MCII sub-project.

7. Resettlement Plan (RP) implementation in the respective subprojects will be closely monitored by the EA through PMU and at each SIO through a Social and Environment Implementation unit (SEIU). The RP documentation is available through the PMU and also on the ADB website (ADB.org). The monitoring mechanism will have a two-tier system i.e. Internal Monitoring and External Monitoring.

8. For subprojects with significant involuntary resettlement impacts and implementation of livelihood assistance program, the EA will engage qualified and experienced external expert to verify the monitoring information prepared by the safeguard unit of the EA. The external expert engaged by the EA will advise on safeguard compliance issues, and if any significant involuntary resettlement issues are identified, a corrective action plan will be prepared to address such issues. The EA will prepare monthly monitoring reports that describe the progress of the implementation of resettlement activities and any compliance issues and corrective actions. These reports will closely follow the involuntary resettlement monitoring indicators agreed at the time of resettlement plan approval.

2.0. Brief Scope of Work

Scope of Work – Generic

- (i) to review and verify the progress in resettlement implementation as outlined in the RP;
- (ii) to monitor the effectiveness and efficiency of PMU and CSO/SIO, in RP implementation;
- (iii) to assess whether resettlement objectives, particularly livelihoods and living standards of the affected persons (AP), have been restored or enhanced;
- (iv) to assess the implementation of RP, with particular attention on participation of vulnerable groups, namely (a) those who are below the poverty line (BPL); (b) those who belong to scheduled castes (SC) and scheduled tribes (ST); (c) female-headed families; (d) elderly; and (e) disabled persons; and
- (v) to assess resettlement efficiency, effectiveness, impact, and sustainability, drawing both on policies and practices and to suggest any corrective measures, if necessary;

Scope of Work – Specific for Machhagaon, Pattamundai and HLC Range-1 Subprojects

- (i) to develop specific monitoring indicators for undertaking monitoring for resettlement plan;
- (ii) to review results of internal monitoring and verify payment of compensation or allowance through random checking or covering 100% affected households, at the field level to assess whether resettlement objectives have been generally met, and to involve the affected people and community groups in assessing the impact of resettlement for monitoring and evaluation purposes;
- (iii) to identify the strengths and weaknesses of the resettlement objectives, approaches, and implementation strategies;
- (iv) to review and verify the progress in resettlement implementation of subproject on a sample basis, and to prepare monthly reports for the EA and ADB;
- (v) to review and assess the adequacy of compensation given to the APs, and the livelihood opportunities and incomes as well as the quality of life of APs of project-induced changes; and
- (vi) to review and assess the adequacy and effectiveness of the consultative process with affected APs, particularly those vulnerable, including the adequacy and effectiveness of grievance procedures available to the affected parties, and dissemination of information about these.

Scope of Work – Specific for Mahanadi Chitrotpala Island Irrigation (MCII) Subproject

- (i) Verify the scope of impacts on involuntary resettlement for this sub-project and confirm the size of land acquired from the private agricultural land, and the government's land.
- (ii) Verify the number of households affected by land acquisition and number of households that need to be displaced due to requirement to execute civil works for this sub-project
- (iii) Verify, randomly, the payment of compensation to the affected households

- (iv) Verify payment of compensation to affected households due to their loss of structure and trees
- (v) Verify the effectiveness of GRM, and check whether all resolved grievances have been done following the procedure set up under the RP, and check also the cause of unresolved grievance
- (vi) Verify the implementation of livelihood assistance (LA) program and check whether the households targeted for this LA have really benefited from this program

3.0 Terms of Reference

3.1.0 General Description of the Project Area

RP implementation will be done in 3 major irrigation subprojects, i.e., Machhagaon, Pattamundai and HLC Range 1 which are situated in the Mahanadi delta and coastal region of Odisha. Machhagaon sub project is falling under Jagatsinghpur Irrigation Division, Pattamundai subproject is under two divisions - Mahanadi North Division and Kendrapada Irrigation Division and HLC Range 1 is also falling under two irrigation divisions, i.e., Jaraka Irrigation Division and Mahanadi North Division. The MCII project is located in the Mahanadi delta, and falls under MCII Division, Manijanga. LA program implementation has already been completed in the MCII sub-project and needs verification..

3.1.1 Assignment

The external monitor will be responsible for monitoring the progress of RP implementation, execution process, performance of the implementing agency (CSO/SIO) and executing body, the PMU, and adequacy of institutional arrangement for carrying out implementation of RP. The external monitor will provide ADB with a resettlement compliance report. The external monitor will also verify the implementation of LA program as per the scope described in para 2 above and provide a compliance report to ADB.

3.1.2 Objective

Monitoring and reporting are important activities of RP implementation as that can ensure achievement of the objective laid down in the plan. For any project that involves significant impact associated with involuntary resettlement, or category A, ADB SPS 2009 requires engaging an external monitor for reviewing the implementation RP to ensure its compliance with ADB requirement. . Accordingly,

the external monitor will at least review all reports prepared by the project on RP implementation, verify whether (i) the affected peoples received compensation and other allowance, (ii) submitted grievance had been resolved and handled, (iii) program on livelihood assistance is implemented with targeted affected people as designed in the RP, and (iv) and other activities under the RP are implemented to ensure that no affected people will be worse off.

4.0 Scope of Services

The external monitor will review implementation of the RP in quarterly basis to assess whether the basic objective of ADB's Safeguard Policy is being fulfilled. The external monitor will also assess whether the process of relocation and rehabilitation of the project-displaced persons, if any, and adequacy of institutional arrangements in RP implementation were as per ADB's Safeguard Policy Statement.

5.0 Qualification and Experience

The External Monitor must have master' degree in social science (preferably economics, sociology, anthropology, development studies) and must have at least 5 years prior experience in involuntary resettlement operation and management. Experience in RP implementation in Odisha is preferable. The monitor will have demonstrable experience of using appropriate computer software/programs as well as Odia language is desirable.

6.0 Review of Data and Documents

The external monitor will review baseline database. Besides, relevant documents collected during implementation, and the grievance redress mechanism will be reviewed by the external monitor. The project will provide all data and information related with the implementation of RP.

7.0 Reporting Requirement

The External monitor will submit an inception report within 3 weeks; on signing up of the contract including a work plan for the whole contract period.

Prepare quarterly report for each RP/sub-project on the findings based on his/her review on implementation the RPs and provide the project with suggestions and recommendations to ensure the project comply with all requirements as explain in the RPs.

Aside from quarterly report, the external monitor will submit the resettlement compliance reports for each subprojects and LA program compliance report of MCII sub-project to

ADB through the executing authority, PMU. The language of the reports will be English. Illustrations, if necessary, may be provided as part of the reports.

8.0 Change of Scope

The external monitor will adhere to any change in scope of work, after consultation with the EA and in the true spirit of monitoring and reporting of resettlement activities.

9.0 Data, Local Services, Personnel, and Facilities to be provided by the Client

- Office space including furniture and utilities.
- Access to relevant reports, studies, data, photographs, maps, and institutions,
- Counterpart staff to work with the Consultant
- Other logistics support for carrying out fieldwork, including permission to enter the project areas, use facilities such as Guest Houses, payable at the official rates, where possible, in connection with their official duties.

CURRICULUM VITAE (CV) FOR PROPOSED INTERNATIONAL OR NATIONAL EXPERTS

1. **Proposed Position:** _____

2. **Name of Firm** [*Insert name of firm proposing the expert, if applicable*]: _____

3. **Name of Expert** [*Insert full name*]: _____

4. **Current Residential Address:** _____
Telephone No.: _____
Fax No.: _____
E-Mail Address: _____

5. **Date of Birth:** _____ **Citizenship:** _____

6. **Education** [*Indicate college/university and other specialized education of expert, giving names of institutions, degrees obtained, and dates of obtainment*]: _____

7. **Membership in Professional Associations:** _____

8. **Other Trainings** [*Indicate significant training since degrees under 5 - Education were obtained*]: _____

9. **Countries of Work Experience:** [*List countries where expert has worked in the last ten years*]: _____

10. **Languages** [*For each language indicate proficiency: good, fair, or poor in speaking, reading, and writing*]: _____

11. **Employment Record** [*Starting with present position, list in reverse order every employment held by expert since graduation, giving for each employment (see format here below): dates of employment, name of employing organization, positions held.*]:

From [Year]: _____ To [Year]: _____

Employer: _____

Positions held: _____

NOTE: Maximum of 5 pages.

<p>12. Detailed Tasks Assigned <i>[List all tasks to be performed under this assignment]</i></p>	<p>13. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned <i>[Among the assignments in which the expert has been involved, indicate the following information for those assignments that best illustrate the expert's capability to handle the tasks listed in line 11.]</i></p> <p>Name of assignment or project: _____</p> <p>Year: _____</p> <p>Location: _____</p> <p>Client: _____</p> <p>Main project features: _____</p> <p>Positions held: _____</p> <p>Activities performed: _____</p>
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14. Certification:

- | | Yes | No |
|---|--------------------------|--------------------------|
| I, the undersigned, certify to the best of my knowledge and belief- | | |
| (i) this CV correctly describes my qualifications and my experience | <input type="checkbox"/> | <input type="checkbox"/> |
| (ii) I am employed by the Executing or the Implementing Agency | <input type="checkbox"/> | <input type="checkbox"/> |
| (iii) I am a close relative of a current ADB staff member | <input type="checkbox"/> | <input type="checkbox"/> |
| (iv) I am the spouse of a current ADB staff member | <input type="checkbox"/> | <input type="checkbox"/> |
| (v) I am a former ADB staff member. | <input type="checkbox"/> | <input type="checkbox"/> |
| • If yes, I retired from ADB over 12 months ago | <input type="checkbox"/> | <input type="checkbox"/> |
| (vi) I am part of the team who wrote the terms of reference for this consulting services assignment. | <input type="checkbox"/> | <input type="checkbox"/> |
| (vii) I am sanctioned (not eligible for engagement) by ADB. | <input type="checkbox"/> | <input type="checkbox"/> |

I understand that any willful misstatement described herein may lead to my disqualification or dismissal, if engaged.

 Signature of expert Date: _____
(Day/Month/Year)

Expression of Interest Individual Consultants

A. Project Data

Project Number	
Project Name	
Project Country	

B. Consultant Data (*pre-populated from CMS registration)

* Name	
* Country of Nationality	
* Address of consultant	
* E-mail of consultant	
* ADB-CMS No.	

Assignment Specific Qualifications and Experience

* Assignment Specific Experience (Provide information demonstrating your ability, skills and experience to undertake advertised assignment and deliver inputs/ outputs required under the TOR)
* Other Information (if this EOI is filled on the basis of simplified CMS registration, please provide summary of your qualifications and attach your CV to your CMS registration)

C. Eligibility Declaration

I, the undersigned, certify to the best of my knowledge and belief

- My CMS registration contains current contact details
- The CV I attached to my CMS registration correctly describes my qualifications and my experience
- I am not employed by the Executing or the Implementing Agency
- I am not part of the team who wrote the terms of reference for this consulting services assignment.
- I am not sanctioned (not eligible for engagement) by ADB or another MDB.

- I have not been convicted of an offense or crime related to theft, corruption or fraud.
- I understand that it is my obligation to notify ADB should I become ineligible to work with ADB or another MDB, or should I be convicted of an offense related to theft, corruption or fraud.
- I understand that any misrepresentations that knowingly or recklessly mislead, or attempt to mislead may lead to the automatic rejection of the proposal or cancellation of the contract, if awarded, and may result in further remedial action, in accordance with ADB's Anticorruption Policy.

* Completed by (Name/Position)	
Date (dd/mm/yyyy)	

EOI-individuals